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Article Predicting Tensile Strength for Prestressed Reinforced Concrete-Driven Piles

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Abstract: Reinforced concrete piles installed by impact hammers have been used as a common solution for deep foundations because they are cost effective and require less time for construction. Driven piles are often used in large volumes for infrastructure and industrial projects in rural areas. Unlike other installation methods, installing piles using impact hammers can generate tensile stress during construction, which can result in pile failures. Induced tensile stress occurs when piles are being driven through a hard soil layer to a softer soil layer, and transverse cracks happen when induced tensile stress exceeds the pile tensile strength. This issue is not explicitly stated in most standards; the rare code that mentions this issue is AASHTO 2014. AASHTO 2014 uses correlations between the concrete tensile and compressive strengths to obtain the pile tensile strength. However, data collected from more than 1300 tests on the correlations between the concrete tensile and compressive strengths show that the concrete pile tensile strengths obtained using AASHTO 2014 are significantly conservative. This paper provides an adjustment in the correlation for the tensile strength based on previous data, and it proposes an approach to estimate the tensile strength for concrete-driven piles. A case study of the effects of pile failures on the tensile strength is also presented to verify the approach. The obtained tensile strength from the proposed approach agrees well with the measured field data. For the case study, the pile tensile strength obtained using the proposed approach is 38% and 59% higher than the tensile strength obtained using AASHTO 2014. These quantities are significant but may vary, depending on the compression strength of the concrete used and the pile configurations. The proposed approach better predicts the tensile strength of concrete piles and can lead to cost savings.

Keywords: pile driving analyzer (PDA); tensile stress; concrete piles; driven-pile installation

1. Introduction

Precast-concrete-driven piles are an effective foundation solution with low costs and less time-consuming construction [1]. However, pile driving can affect the integrity of the pile segments, with common problems including axial cracks, pile top cracks, and transverse cracks [2–4]. Transverse cracks caused by induced stress during pile driving can cause pile damage and affect the pile integrity. Induced tensile stress occurs when piles are being driven through a hard soil layer to a softer soil layer, and transverse cracks happen when the induced tensile stress exceeds the pile tensile strength. Induced stress in a pile while being driven can be measured using high-strain dynamic tests with a pile driving analyzer (PDA), but this is not often carried out in practice or thoroughly investigated [5]. To resist the induced tensile stress during the construction stage of pile driving, the pile



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Copyright: © 2022 by the authors. Licensee MDPI, Basel, Switzerland. This article is an open access article distributed under the terms and conditions of the Creative Commons Attribution (CC BY) license (https:// creativecommons.org/licenses/by/ 4.0/). mobilizes tensile strength from both of its material components: steel reinforcement (with or without prestressing) and concrete. When determining the tensile strength of prestressed concrete piles, some standards and guidelines take into account the tensile strength of the concrete component, such as AASHTO [5] and FHWA [6]. However, other standards do not [7–21]; in most cases, in practice, the concrete tensile strength is not taken into account. Neglecting concrete's tensile strength when determining the pile's tensile strength is safer, but not economical. Likins and Rausche [1] suggest that it is necessary to include at least part of the concrete tensile strength in the calculation of the tensile strength of the pile.

Different standards have different approaches for determining the concrete tensile strength, based on different testing methods, including direct tension, modulus of rupture, and split cylinder testing [6-20]. Of these three standardized testing methods, modulus of rupture and split cylinder are more common, whereas the direct tensile test is only used in a few standards. ACI 543R-12 [21] states that the less commonly used direct tensile test is due to the difficulty of pure tensile loading on a plain concrete specimen. In practice, tensile-strength tests are not carried out as often as compressive-strength tests. Standards often allow for the conversion of the compressive strength to the tensile strength. The correlations between the modulus of rupture and the compressive strength of pile concrete in the standards are summarized in Table 1, where f_t , f_r , f_c , and f_c' are the tensile strength, flexural tensile strength, cube compressive strength, and cylinder compressive strength, respectively, of concrete at 28 days. As in Table 1, significant variation exists in the estimation of the tensile strength through the correlations, which not only affects the prediction of the tensile strength for prestressed driven piles, but also the overall cost of the piling work. This paper provides an adjustment in the correlation for the tensile strength based on previous data, and it proposes an approach to estimate the tensile strength for driven piles that agrees well with the measured data and can lead to cost savings. A case study of the effects of reinforced-concrete-pile failures on the tensile strength is also presented to verify the approach. Relative comparisons between different approaches are also provided.

Standards (or Codes)	Correlations in MPa	Tensile Strength Testing Methods	Type of Compressive Samples
ACI 318-2002 [7]	$f_r = 0.62\sqrt{f'_{ci}}$	$f_r = 0.62 \sqrt{f'_{ci}}$ Modulus of Rupture	
BS 8007 [8]	$f_t = 0.12 f_c^{0.7}$	Split Cylinder	Cube
CEN (2002) [9]	$f_r = 0.342 f_c^{\prime 2/3}$	Modulus of Rupture	Cylinder
JCI 2016 [10]	$f_t = 0.13 f_c^{\prime 0.85}$	Split Cylinder	Cylinder
JSCE 2002 [11]	$f_t = 0.44 f_c^{\prime 0.5}$	Split Cylinder	Cylinder
AIJ 2008 [12]	$f_t = 0.18 f_c^{\prime 0.75}$	Split Cylinder	Cylinder
CAN/CSA A23.3-04 (2007) [13]	$f_r = 0.6 f_c^{\prime 0.5}$	Modulus of Rupture	Cylinder
NZS-3101 [14]	$f_r = 0.6 f_c^{\prime 0.5}$	Modulus of Rupture	Cylinder
IS 456-2000 [15]	$f_r = 0.626 f_c^{\prime 0.5}$	Modulus of Rupture	Cylinder
CEB-FIB 2010 [16]	$f_r = 0.3 f_c^{\prime 0.5}$ (For concrete grades $\leq C50$)	Axial tension	Cylinder

Table 1. Tensile Strength and Compressive Strength Empirical Correlations in Standards.

2. Tensile Strength of Reinforced-Concrete-Driven Piles

The AASHTO [5] Standard and FHWA [6] guidelines prescribed the tensile strength of concrete as:

$$\sigma_{dr} = \varphi_{da} \left(0.25 \sqrt{f_c'} + f_{pe} \right) \tag{1}$$

where σ_{dr} is the driving tensile-stress limit or tensile strength (MPa); φ_{da} is the resistance factor during driving (1.0 for concrete piles); f'c is the concrete compression strength

at 28 days, unless otherwise specified (MPa); f_{pe} is the effective prestressing stress in concrete (MPa).

Figure 1 presents the modulus of rupture data from the 1330 tests from research over the past 80 years [22–25]. These data can be used to evaluate Equation (1). The observations from the data are: (1) when the compressive strength of concrete is greater than 50 MPa, the correlation of the compressive and tensile strengths of concrete, according to ACI 363R-10 [24], which is

$$f_r = 0.623\sqrt{f_c'}$$
 (2)

corresponds to the lower boundary of the data points, and (2) when the compressive strength of concrete is less than 50 MPa, the data lower bound is the f_r curve, which is

$$f_r = 0.415\sqrt{f_c'}$$
 (3)





As in Equation (1), AASHTO [5] recommends using the tensile strength of concrete at $0.25\sqrt{f'_c}$. Compared with the observations from Figure 1, it can be seen that the AASHTO [5] approach is significantly conservative, and especially when the compressive strength is greater than 50 MPa. It is proposed that the equation for the tensile strength should be adjusted to:

$$\sigma_{dr} = \varphi_{da} \left(k \times \sqrt{f_c'} + f_{pe} \right) \tag{4}$$

where k = 0.415 for $f'_c < 50$ MPa and k = 0.623 for $f'_c \ge 50$ MPa. Equation (4) predicts the tensile strength of the piles more accurately. It should be noted that the compressive strength itself is a parameter that already includes a factor of safety; therefore, the tensile strength, when determined through the compressive strength, does not require an additional factor of safety. Therefore, Equation (4) also provides the maximum allowed induced tensile stress during pile driving.

3. A Case Study: Verification of the Proposed Approach

This case study is from a thermal-power-plant project in Soc Trang, Vietnam, in 2016. Figure 2 shows Borehole BH6, with a soil profile of the study area; this profile is relatively typical and similar to other boreholes within the footage of the plan. Soil Layer 1 is a loose–medium-dense sand, which is followed by Layers 2 and 3, which are soft–firm-clay weaker soils with much lower SPT numbers.



Figure 2. Boring Log BH6 (typical for the study area).

The foundations consist of prestressed-reinforcement-concrete square piles with a compressive strength f'_c of 50 MPa (cylindrical samples). The pile cross-section of 0.5 m × 0.5 m, with the details on the pile head and tip, are presented in Figure 3. The pile lengths are 52 m (consisting of three segments: 18 m, 18 m, and 16 m) and 53 m (18 m, 18 m, and 17 m). The hammer used to drive the piles weighs 7.2 tons, with a drop height of 1.8 m; other specifications on the hammer are presented in Table 2.

PILE HEAD DETAIL





Figure 3. Pile Details: (a) Pile Head with Eight Pre-Stressed Cables and (b) Pile Tip.

Table 2. Specifications of the Hammer (Model HD 72	.).
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Descriptions	Units	Values	
Power stroke	Nm	244 - 122	
Number of stroke	1/min	35 - 50	
Max. blow of impact	mm	4518	
Impact weight	Ton	7.2	
Dimensions (L \times W \times H)	mm	$5990 \times 1130 \times 980$	

For the initial approved design, the reinforcement used for each pile was 15.24 mmdiameter prestressed cables with a tensile strength (f_{pu}) of 1860 MPa, the value of the prestressing force was $f_{pi} = 0.7f_{pu} = 1302$ MPa, and there were four cables for each pile.

During pile driving, horizontal cracks were observed on a number of piles. Figure 4 shows the cracks on Pile 12HA415 and Pile 12HA174. The pile design, fabrication, and installation processes were revisited and analyzed. It was confirmed that the cause of the damages was not from the quality of the concrete, pile transportation, pile lifting by crane,

or buckling. Moreover, the impact caused by a clamp used to reduce the effects of pile slenderness during installation was eliminated as a reason for the cracks. The cracks were mainly transverse, which shows that the damages must have come from tensile stress and not from compressive stress [3].



(b)

(c)

Figure 4. Cracks on Piles: (a) Pile 12HA 415, (b) Pile 12HA 415, and (c) Pile 12HA 174.

Based on the analysis, the pile design was changed by increasing the number of reinforced cables from four to eight for each pile. The type of cable was unchanged, with a diameter of 15.24 mm, and a prestressing force of 19,200 kg (188.3 kN). The pile tensile strength was calculated using three approaches: (1) not accounting for the concrete tensile strength (k = 0) as in most standards; (2) accounting for the concrete tensile strength following AASHTO [5] (k = 0.250; (3) accounting for the concrete tensile strength following the proposed Equation (4) (k = 0.622). The compressive strength of the concrete used is 50 MPa. The results in Table 3 show that the pile tensile strength is significantly increased when accounting for the tensile strength of the concrete, and the proposed equation gives a tensile strength at least 38% higher than that of AASHTO [5].

Table 3. Pile Tensile Strength.

		Pile Tensile Strength (MPa)			
Design	No. of Cables	Not Accounting for Concrete Tensile Strength (Most Standards)	Accounting for Concrete Tensile Strength AASHTO [5]	Accounting for Concrete Tensile Strength (Equation (4))	Difference between Equation (4) and AASHTO [5]
Initial Revised	4 8	2.68 5.12	4.44 6.89	7.06 9.5	+59% +38%

4. Pile Tensile-Stress Measurement

With the new pile design, PDA tests were conducted, and the tensile stresses in the piles while driving were recorded. The results on Pile 12HA 474 and Pile 12HA 451 were analyzed using CAPWAP software, which is an effective tool for stress calculation in PDA experiments [26]. Research by Zhou et al. [27] on the PDA results of wave measurements in piles shows that the largest tensile stress waves are usually near the pile heads, where the PDA gauges are typically mounted. Thus, the tensile stress measured in the PDA test is expected to be the maximum tensile stress of the pile. Figures 5 and 6 show the results of the measured tensile stress generated in the piles during pile driving. The Borehole-BH6 geology column is imposed in the figures for visualization.



Figure 5. Stress Measurements—First Segment of Pile 12HA 474.



🗕 Pile no. 12HA451

Figure 6. Stress Measurements, Last Segments of Piles No. 12HA 474 and 12HA 451.

As in Figures 5 and 6, the changes in the measured tensile-stress profile, in general, are relatively consistent with the changes in the SPT-value profile and the types of soils. However, the induced tensile stress in the piles increased when the pile tip penetrated through the boundary of hard soil (Layer 1) to softer soil layers (Layers 2 and 3) (Figure 5). Note that a few data points were missing for Pile 12HA 474, but this does not affect the analysis. Moreover, amongst the measured data, there is an outlier at the first blow on Pile 12HA 474 (Figure 5) at a depth of 1m; the measured stress is 11.9 MPa, which is two times more than the expected value. This outlier was eliminated from the dataset used for analysis as in Figures 5 and 6, the changes in the measured tensile-stress profile, in general,

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5. Analysis of Pile-Induced Tensile Stress and Strength

For the original pile design with four cables that showed cracks during construction, the tensile strengths were calculated using the AASHTO equation (Equation (1)) and the proposed equation (Equation (4)) for comparison. The results are imposed in Figures 5 and 6, where the red lines represent the tensile strength obtained using the AASHTO equation (Equation (1)), which produced the value of 4.44 MPa; the orange lines represent the tensile strength using the proposed equation, which produced the value of 7.06 MPa. This value of 7.06 MPa is within the higher range of the measured tensile stress (6.3 MPa to 8.1 MPa) and, as a result, a large number of the piles of this initial design were damaged. Compared with the measured data and the observed cracks, the AASHTO equation underestimated the tensile strength of the piles because the equation predicted a tensile strength of 4.44 Mpa, which is smaller than the measured tensile stress along the length of the pile segments. The proposed approach predicts a tensile strength of 7.06 MPa, which is actually more accurate because the cracks appeared at locations where the measured induced stresses were larger than the predicted strength.

For the revised design of the piles with eight cables, the tensile strength obtained by using the AASHTO equation is 6.89 MPa, which is smaller than the maximum induced tensile stress of 8.1 Mpa, and cracks would have occurred. However, with this revised design of eight cables, there were no cracks observed on the piles. The proposed approach's calculated tensile strength for the eight-cable piles is 9.5 MPa, shown by the green lines in Figures 5 and 6. This value is larger than the induced tensile stress in the piles, predicting no cracks. The calculated factor of safety is 1.17, and these piles were successfully constructed without tensile cracks. For eight-cable piles, if a design did not account for the tensile strength of the concrete component as recommended by most standards, then the pile tensile strength would be 5.12 MPa, which is smaller than the induced tensile stress during driving, and cracks would have occurred.

For this case study, the proposed approach predicts the pile tensile strength (and, therefore, the cracks) more accurately, with the calculated tensile strength about 38% to 59% higher than the values from AASHTO [5] for designs of four and eight cables, respectively (Table 3). These quantities are significant but may vary, depending on the used compression strength of the concrete and the pile configurations; however, based on the analysis using measured data and field observations, the proposed approach is reliable to use for estimating the tensile strength of prestressed concrete piles. This approach can provide a better design for the prestressed concrete pile at the construction stage, resulting in cost savings.

6. Conclusions

For concrete-driven-pile design, checks must be performed to ensure that the pile tensile strength is greater than the possible induced tensile stress during pile driving to avoid pile damage in the construction stage. Generally, empirical correlations are used to estimate the tensile strength of concrete, which show significant variations among the different standards. This paper examines the relationship between the modulus of rupture and the compressive strength of concrete (based on previous data). The current AASHTO 2014 underpredicted the tensile strength in the prestressed driven piles, which led to overdesign. A modified approach (Equation (4)) is proposed for predicting the tensile strength in the prestressed driven pile. A case study is presented in which PDA tests were conducted to measure the pile-induced stress during pile driving. The data show that the tensile stress in the piles increases when the pile tip penetrates from a firmer soil layer to a softer soil layer, which can cause pile cracks. The recorded tensile stresses during pile driving are compared with the pile tensile strengths obtained from the AASHTO approach (Equation (1)) and the proposed approach. For the case study, the predicted tensile strength of prestressed driven piles using the proposed approach agrees well with the PDA results and is about 38% to 59% higher than the value obtained from AASHTO. These quantities are significant but may vary, depending on the compression strength of the concrete used and the pile configurations. However, adjustments using the proposed approach can lead to more economical designs.

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